

Thus, any rotation becomes

$$R(\alpha, \beta, \gamma) = R_z(\gamma) R_y(\beta) R_z(\alpha)$$

$$\cdot R_y(\beta) = R_z(\alpha) R_y(\beta) R_z^{-1}(\alpha)$$

→ Undo the z rotation bringing $y' = y$

→ Rotate about $y = y'$ by β

→ Re-rotate z

$$\cdot R_z(\gamma) = R_y(\beta) R_z(\gamma) R_y^{-1}(\beta)$$

$$\cdot \text{Put all back together} \rightarrow R(\alpha, \beta, \gamma) = R_z(\alpha) R_y(\beta) R_z(\gamma)$$

Key Takeaway: You can describe any rotation with a 3×3 real, orthogonal matrix!

Rotations of vectors are $SO(3)$

- 1) Any rotation can be represented by a 3×3 matrix and the combination of any two rotations is itself a rotation (see the Euler angles above) → **closed** ✓
- 2) I can do nothing → **Identity represented by $I_{3 \times 3}$** ✓
- 3) There is an inverse (again see discussion of Euler angles above) → **Inverse** ✓
- 4) We have already been in a representation of 3×3 matrices and matrix multiplication is associative
↳ **Associativity** ✓

What is the dimensionality?

For discrete groups, $\dim(G)$ was simply the number of elements.

But there are an infinity of $SO(3)$ matrices

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Or are there? How many independent components?

$$R = \begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} \text{ Looks like } n^2 = 9, \text{ but}$$

$$R^T R = I_{3 \times 3} \Rightarrow \begin{pmatrix} a & d & g \\ b & e & h \\ c & f & i \end{pmatrix} \begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} = \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix}$$

$$\begin{aligned} \hookrightarrow a^2 + d^2 + g^2 &= 1 & ab + de + gh &= 0 \\ b^2 + e^2 + h^2 &= 1 & bc + ef + hi &= 0 \\ c^2 + f^2 + i^2 &= 1 & ac + df + gi &= 0 \end{aligned}$$

9 parameters - 6 eqns = 3 d.o.f. which we already know

- α, β, γ Euler angles
- Pitch, roll, yaw

$$\text{In general } \dim(SO(N)) = \frac{N(N-1)}{2}$$

This dimensionality as number of free parameters is generally true.

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Infinitesimal Rotations

There is one other interesting point about rotations, of 3-vectors (\mathbb{R}_3) \rightarrow they can be done as a series of infinitesimal steps from Identity.

Since the group of rotations is closed (by definition of group) we can imagine it as a sphere

- Of course, I need to go down from 3-D to 2 as a spherical surface is only 2-D.
- Imagine I can rotate about z and y , but not x for some reason.



I can get from I to any point via a series of smooth infinitesimal steps.

In our case of rotation by α about z : $\alpha \rightarrow \delta$

$$R(\delta) = \begin{pmatrix} 1 & -\delta & 0 \\ +\delta & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \text{ to first order in } \delta$$

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Which looks a lot like the first term in

$$\exp \left[\delta \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \right] = 1 + \delta \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \text{Eqn. 1}$$

But didn't Noether's theorem say it should be $R \propto \exp[L_z]$?

In fact, following our results from t -evolution, we can guess that it should probably be

$$R(\delta) = \exp \left[\frac{-i\delta}{\hbar} L_z \right] \sim 1 - \frac{i}{\hbar} \delta L_z$$

$$\sim 1 - \frac{i}{\hbar} \delta \begin{pmatrix} \hbar & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -\hbar \end{pmatrix}$$

Or something?

While these don't look the same at first, I assert they are

Start by multiplying Eqn. 1 by $1 = \begin{pmatrix} -i/\hbar & & \\ & -i/\hbar & \\ & & -i/\hbar \end{pmatrix}$

$$1 + \delta \cdot \begin{pmatrix} -i/\hbar & & \\ & -i/\hbar & \\ & & -i/\hbar \end{pmatrix} \begin{pmatrix} 0 & -\hbar i & 0 \\ \hbar i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

These two matrices only differ by a similarity transform $M^{-1} \begin{pmatrix} 0 & -\hbar i & 0 \\ \hbar i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} M = \begin{pmatrix} \hbar & & \\ & 0 & \\ & & -\hbar \end{pmatrix}$ for $M = \begin{pmatrix} -i & 0 & i \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$

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All we did is a change of basis! So $R_z = \exp\left[\frac{-i\alpha}{\hbar} L_z\right]$ holds

What is the basis difference?

$L_z = \begin{pmatrix} \hbar & & \\ & 0 & \\ & & -\hbar \end{pmatrix}$ is in the spherical coordinates of the Y_{lm} . I.e. it acts on vectors of the type $\begin{pmatrix} Y_{11} \\ Y_{10} \\ Y_{1-1} \end{pmatrix}$

In contrast $L_z = \begin{pmatrix} 0 & -\hbar i & 0 \\ \hbar i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ is in Cartesian coordinates

In fact you can see the connection in the transformation matrix M

$M = \begin{pmatrix} -i & 0 & i \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$

$Y_{1-1} = \frac{1}{2} \sqrt{\frac{3}{2\pi}} e^{-i\phi} \sin\theta = \frac{1}{2} \sqrt{\frac{3}{2\pi}} \frac{(x-iy)}{r}$ (Slide)

$Y_{10} = \frac{1}{2} \sqrt{\frac{3}{\pi}} \cos\theta = \frac{1}{2} \sqrt{\frac{3}{\pi}} \frac{z}{r}$

$Y_{11} = \frac{1}{2} \sqrt{\frac{3}{2\pi}} e^{i\phi} \sin\theta = \frac{1}{2} \sqrt{\frac{3}{2\pi}} \frac{(x+iy)}{r}$

Lie-Groups

A Lie-Group is a continuous group where you can get to any group member via a series of infinitesimal steps, just like we did here for rotations.

The Lie group is specified by a set of generators which have the same number as the number of free parameters in the group being generated.

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The group $SO(3)$ has 3 parameters (the Euler angles) and 3 generators: L_x , L_y , and L_z .
• Identity doesn't count as a generator

These generators, and their commutation relations, define a Lie algebra

- Generically $[T^a, T^b] = if^{abc} T^c$
- In our case $[L_i, L_j] = i\epsilon_{ijk} L_k$
→ ϵ_{ijk} are the structure constants for $SO(3)$

The Lie algebra for $SO(3)$ is written $\mathfrak{so}(3)$

The generators act as unit vectors spanning the plane tangent to the group at identity



- Why a plane is bigger than a line even though both are infinite: more generators, more complex Lie algebra!

I can use these to get to any group element (just like we saw w/ Euler angles).

Why we used $L=1$

We were rotating vectors!

You may(?) know that the photon (a vector as we saw w/ parity) has spin=1, so things are at least logical!

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What about other values of angular momentum?
 $\frac{1}{2}$ perhaps?

Spin $\frac{1}{2}$ Objects need a 2-rep (not the 3 we've been working with)

Fortunately the Lie Algebra helps us out here

$$R(\varphi) = \exp\left[\frac{-i\varphi}{\hbar} \vec{S} \cdot \hat{n}\right]$$

where $\vec{S}_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ for example and, in general, $S_i = \hbar/2 \sigma_i$

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Since these obey the same algebra, all good!

Or are we?

$$R(\varphi) = \exp\left[\frac{-i}{\hbar} \varphi \frac{\hbar}{2} \vec{\sigma} \cdot \hat{n}\right] = \exp\left[i \left(\frac{\varphi}{2}\right) \vec{\sigma} \cdot \hat{n}\right]$$

I need to go 720° to get back to where I started!

Demo of Dirac belt trick.

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SU(2) and Representations

The Pauli matrices are actually generators of SU(2)

- The group of all 2×2 unitary matrices of $\det = 1$

- Also 3-parameters!

$$\rightarrow \begin{pmatrix} a & b \\ c & d \end{pmatrix} \rightarrow 4 \left\{ \begin{array}{l} (n^2 \text{ generally}) \\ 4-1 = 3 \end{array} \right.$$

$$\rightarrow ad - bc = 1$$

- I can make any SU(2) matrix by $e^{-i\alpha_i \sigma_i}$

The fact that the number of parameters is the same for SU(2) & SO(3) is why they can have the same Lie algebra: SU(2) is **isomorphic** to SO(3)

In fact locally, we can say that the rotation matrices we've been dealing with for vectors are the 3-rep of SU(2). The 2-rep is called the fundamental rep

Spin $3/2$ would be in the 4-rep!

Generate the Lie Algebra of the correct dimensionality, and you can see how anything transforms under rotations!

Beyond that, nature really likes SU(2)

- Nuclear physics: p^+ & n^0 are almost a spin "up" & "down"

- The weak force is in a 3-rep of SU(2)

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